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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/722,602	11/27/2000	Frances H. Arnold	9373/1G811-US1

CONFIRMATION NO. 5781

## FORMALITIES LETTER



\*OC000000005982776\*

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

Date Mailed: 04/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1836.
  - \$1116 for 62 total claims over 20.
  - \$720 for 9 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2676.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)



## EXPRESS MAIL CERTIFICATE

Date 5/4/01 Label No. 853601445Us  
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Name (Print)

Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 9373/1G811US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Frances H. ARNOLD, et al.

Serial No.: 09/722,602

Art Unit: TBA

Confirmation No.: 5781

Filed:

November 27, 2000

Examiner:

TBA

For:

DIRECTED EVOLUTION OF OXIDASE ENZYMES

Box PATENT APPLICATION

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of \$ 2716, (\$2546 filing; \$ 40 recording; \$130 late) in the form of:
  - ☒ check
  - ☐ deposit account no. 04-0100
  - ☐ credit card (see attached form)(See attached **Fee Computation Sheet**)

3. ☐ Drawings, sheets (Figs. )
4. ☒ Assignment for recording to: CALIFORNIA INSTITUTE OF TECHNOLOGY
5. ☐ Applicant claims small entity status.  
**PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.**
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:

Number:


Date:

The priority documents ☐ are enclosed  
☐ will follow.  
☐ were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: May 2, 2001

  
\_\_\_\_\_  
Robert Schaffer  
Reg. No. 31,194  
Attorney for Applicant(s)

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Serial No. 09/722,602

Docket No. 9373/1G811US1



Docket No.: 9373/1G811US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$710.00
Total Claims	82 - 20	- 0 = 62	x \$18.00	\$1116.00
Independent Claims	12 - 3	- 0 = 9	x \$80.00	\$720.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$2676.00
[ ] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$0.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$2716.00